

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): R. Alexis Takasugi et al.

Confirmation No.:

Application No.:

Examiner: Unassigned

Filing Date: Herewith

Group Art Unit: N/A

Title: PREFETCH CONTROLLER FOR CONTROLLING RETRIEVAL OF DATA FROM A DATA STORAGE DEVICE

Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
☐ Statement under 37 CFR 1.97(e), or
☐ a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:
☐ Statement under 37 CFR 1.97(e)(1) or (2), and
☐ a \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449; as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

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Date of Deposit Sept. 26, 2003

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Alexandria, VA 22313-1450.

By

Typed Name: John A. Parker

Respectfully submitted,

R. Alexis Takasugi et al.

By

Jeff A. Holmen

Attorney/Agent for Applicant(s)

Reg. No. 38,492

Date: Sept. 26, 2003

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FORM PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO.	APPLICATION NO.	CONFIRMATION NO.
	10014268-1		
	APPLICANT		
	R. Alexis Takasugi et al.		
	FILING DATE	GROUP	
	Herewith		

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A 5,544,342	Aug. 6, 1996	Dean	
	1B 5,485,589	Jan. 16, 1996	Kocis et al.	
	1C 5,600,817	Feb. 4, 1997	Macon, Jr., et al.	
	1D 5,619,676	Apr. 8, 1997	Fukuda et al.	
	1E 5,761,718	Jun. 2, 1998	Lin et al.	
	1F 6,079,006	Jun. 20, 2000	Pickett	
	1G 6,173,392	Jan. 9, 2001	Shinozaki	
	1H 6,338,115	Jan. 8, 2002	Galbraith et al.	
	1I 6,341,335	Jan. 22, 2002	Kanai et al.	
	1J 6,393,527	May 21, 2002	Rao et al.	
	1K			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L				
	1M				
	1N				
	1O				
	1P				

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

1Q	
1R	
1S	
EXAMINER	DATE CONSIDERED